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MISSION OF THE BOARD
As servants of the public, our purpose is to protect public health, safety and welfare. Our mission is to proactively protect the health and welfare of Ohio’s citizens and to regulate the chiropractic profession with vision by setting high standards for licensure and by fairly and consistently enforcing those standards through examination of new doctors, biennial license renewal, clinic inspections, and timely investigation and disciplinary action when indicated.

HISTORY OF THE BOARD
The State Board of Chiropractic Examiners was created by the legislature with the enactment of Amended Senate Bill 75 passed on July 15, 1975 and effective on November 3, 1975. This legislation enacted Sections 4734.01 to 4734.19 of the Ohio Revised Code and established a five member Chiropractic Examining Board. Prior to 1975, the Medical Board regulated chiropractors as limited medical practitioners.

On January 8, 2001, the Patient Protection and Professional Standards Act of 2000, was signed by Governor Taft and enacted into law on April 10, 2001. This new law was the first comprehensive revision of the Chiropractic Practice Act since 1975. This law modernized the Board’s practice act and revised the name of the Board from Ohio State Board of Chiropractic Examiners to Ohio State Chiropractic Board.

DUTIES OF THE BOARD
The Board fulfills numerous duties to make certain that the citizens of the state of Ohio are afforded care from competent and qualified chiropractic physicians. Those duties include licensing chiropractic physicians, licensing chiropractic physicians to perform acupuncture, biennial chiropractic and acupuncture license renewal, approving continuing education, issuing temporary licenses, investigating complaints, compliance initiatives and discipline and monitoring. The Board also performs numerous other activities and services such as developing and maintaining a jurisprudence exam, license verification, clarifying and interpreting the laws and rules, administrative rules filings and development, filling public records requests, legislative activities, and administrative functions such as fiscal, human resources, payroll processing, records retention, inventory management and website management.
Board Staff, Members & Meetings

The Board currently employs six full time employees to carry out its duties and responsibilities.

Kelly Caudill, Executive Director
Liz Moore, Executive Assistant
Mari Walker, Certification/Licensure Examiner
Kendra Hudson, Paralegal
M. Kate Borloglou, Investigator
Rex Waldenmyer, Enforcement Investigator

The Board is comprised of four chiropractic physicians and one public member. The Governor, with the advice and consent of the Senate, appoints members to four-year terms. Members are eligible to be re-appointed once. Board members are compensated hourly for actual time devoted to the Board's affairs and are reimbursed for their travel expenses. During Fiscal Year 2013 the Board consisted of the following members:

Ronald J. Farabaugh, D.C., President
Westerville, OH
Appointed: 12/30/2009
Term Expires: 11/01/2013

Norman J. Gloekler, D.C.
Ashtabula, OH
Appointed: 11/17/2010
Term Expires: 11/01/2014

John P. Kennedy, Esq., Public Member
Columbus, OH
Appointed: 10/18/2007
Re-appointed: 12/31/2009
Term Expires: 11/01/2013

Gregory P. Palkowski, D.C., Vice-President
Beavercreek, OH
Appointed: 11/26/2010
Term Expires: 11/01/2014

Brian S. Wilson, D.C.
Wintersville, OH
Appointed: 7/19/2012
Term Expires: 11/01/2015

Board Meetings

The Board conducted 7 meetings during Fiscal Year 2013 on the following dates: July 12, August 9, October 11 and December 13, 2012, February 28, April 11 and June 6, 2013. All meetings of the Board are open to the public. All scheduled meetings are posted on the Board’s website. Upon request, any person may obtain advance notification of the time, place and purpose of all meetings of the Board.
Memberships, Meetings & Conferences

**Federation of Chiropractic Licensing Boards (FCLB)**

The Board is an active member of the Federation of Chiropractic Licensing Boards, a non-profit organization established in 1926 as the professional association for governmental regulatory boards responsible for chiropractic licensure. Membership is comprised of chiropractic licensing boards from the United States and Territories, Canada, Australia, Mexico, United Kingdom and New Zealand.

As a member of the FCLB the Board receives free online searches and reporting to the Chiropractic Information Network/Board Action Databank (CIN-BAD) an on-line computer database that tracks the disciplinary actions taken against chiropractic physicians in all jurisdictions, PowerPoll surveys regarding interpretative scope of practice issues, and educational conference communication.

**EDUCATIONAL MEETINGS & CONFERENCES**

November 9-11, 2012: Dr. Gloekler Participated in the NBCE Part IV Test Committee in Greeley, CO.

February 2013: Dr. Gloekler Participated in the NBCE Part III Test Committee in Greeley, CO.

May 1, 2013: Director Caudill attended the Association of Chiropractic Board Administrators meeting in San Francisco, CA.

May 1-5, 2013: Vice-President Dr. Palkowski and Director Caudill attended the FCLB Annual Conference in San Francisco, CA.

May 17-19, 2013: Dr. Gloekler participated in the NBCE Part IV Exam in Chesterfield, MO and Dr. Wilson participated in the NBCE Part IV Exam in Dallas, TX.

**NOTE:** Participation in the NBCE Part IV Exam and Test Committees is at no cost to the Board. The NBCE invites two board members to participate in these activities and pays for all related travel expenses.
Budgetary Matters

The Board is self-supported primarily through revenue generated from application and renewal fees. The Board does not receive any funds from the State’s General Revenue Fund. As required by ORC 4734.54, all receipts of the Board are deposited into the Occupational and Professional Licensing Fund (4K9). This is a joint fund in which the revenue from various other licensing boards is deposited.

FISCAL SUMMARY

The following table illustrates the Board’s revenues and expenditures for FY 2013.

### REVENUES

<table>
<thead>
<tr>
<th>DESCRIPTION</th>
<th>REVENUE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Licenses &amp; Renewal Fees</td>
<td>$34,915</td>
</tr>
<tr>
<td>Rosters &amp; Records</td>
<td>$0</td>
</tr>
<tr>
<td>Fines &amp; Penalties</td>
<td>$7,900</td>
</tr>
<tr>
<td><strong>TOTAL</strong></td>
<td><strong>$42,815</strong></td>
</tr>
</tbody>
</table>

### EXPENDITURES

<table>
<thead>
<tr>
<th>DESCRIPTION</th>
<th>EXPENSE</th>
</tr>
</thead>
<tbody>
<tr>
<td>500 &amp; 510 Account (e.g.: payroll, hearing examiner, experts, court reporters, membership dues)</td>
<td>$454,161.13</td>
</tr>
<tr>
<td>520 Account (e.g.: state maintenance fees, rent, supplies, travel, credit card processing, printing)</td>
<td>$89,862.10</td>
</tr>
<tr>
<td>530 Account (e.g.: computers, equipment)</td>
<td>$0</td>
</tr>
<tr>
<td><strong>TOTAL</strong></td>
<td><strong>$544,023.23</strong></td>
</tr>
</tbody>
</table>

### APPROPRIATIONS

<table>
<thead>
<tr>
<th>FISCAL YEAR</th>
<th>APPROPRIATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>2011</td>
<td>$541,455</td>
</tr>
<tr>
<td>2012</td>
<td>$584,925</td>
</tr>
<tr>
<td>2013</td>
<td>$584,925</td>
</tr>
</tbody>
</table>
Administrative Rules

The Board formulates rules to govern its actions and adopts rules governing the practice of chiropractic in accordance with ORC § 4734.10. Rules adopted under Chapter 4734 must be according to the procedures of ORC § 119. All rules are filed electronically with the Joint Committee on Agency Rule Review, the Legislative Service Commission and the Secretary of State’s Office pursuant to ORC § 103.0511. Public hearings regarding rule changes are held in accordance with ORC § 119.

In addition to the above process, if a rule is determined to have an adverse impact on business, the rule must also be filed with the Common Sense Initiative (CSI) Office and the Board must follow the processes required by the CSI office.

FIVE YEAR RULE REVIEW

In accordance with ORC § 119.032, the Board reviews each of its rules every five years to determine whether to continue without change, amend, or rescind a rule. Prior to the scheduled review date of a rule, the Board reviews the rule to determine whether the rule should be continued without amendment, be amended or be rescinded, taking into consideration the purpose, scope, and intent of the statute under which the rule was adopted.

The rules below were reviewed during FY 2013 and the following actions were taken:

No Change

4734-1-02 Public Notice of Meetings
4734-1-07 Committees and Advisory Groups
4734-1-08 Investigative Procedures
4734-1-09 Organizational Memberships
4734-2-01 Public Notice of Rules
4734-2-03 Rules Hearings
4734-4-01 Hearings; Disciplinary Procedures
4734-4-02 Representatives; Appearances; Communications; Applicability
4734-4-03 Continuances
4734-4-04 Out-of-State Attorneys
4734-4-05 Authority and Duties of Hearing Officer
4734-4-06 Broadcasting and Photographing Administrative Hearings
4734-4-07 Depositions in Lieu of Testimony at Hearing and Transcripts of Prior Testimony for Submission at Hearing
4734-4-09 Evidence; Judicial Notice of Board Records; Stipulations; Certified Copy of Conviction Deemed Conclusive
4734-4-10 Limited Discovery Procedures
4734-4-11 Motions
4734-4-12 Pre-Hearing Conferences; Status Conferences

1 An asterisk denotes that the rule was reviewed by the CSI Office prior to filing.
4734-4-13 Post Hearing Procedures; Reports and Recommendations; Newly discovered Evidence; Addressing the Board
4734-4-14 Requesting Adjudication Hearing; Timing of Hearing; Notification of Hearing; Requirements For Filing; Calculation of Time; Transcripts
4734-6-07* Addresses of Licensees
4734-6-09 Character and Fitness Standards
4734-8-06* Board Consideration of Sanctions
4734-9-01* Fine Schedules
4734-9-04* Ownership of Chiropractic Practices
4734-9-05* Confidentiality
4734-9-09* Fee Splitting Prohibited
4734-10-01 Maintaining a Certificate to Practice Acupuncture
4734-10-02 Acupuncture Course of Study Approval

Amended

4734-2-02 Five Year Rule Review
4734-4-08 Witnesses; Subpoenas; Witness Fees
4734-6-06 Jurisprudence Examination
4734-7-01* License Renewal Requirements
4734-7-02* Standards for Board-Approved Continuing Education Sponsors; Continuing Education Held Within the State of Ohio
4734-7-03* Continuing Education Offered Outside the State of Ohio and Via Supervised Self Instruction
4734-7-04* Inactive Chiropractic License; Restoration of Chiropractic License
4734-7-05* Forfeiture of Chiropractic License; Restoration of Chiropractic License
4734-10-04* Acupuncture Certificate Renewal Requirements
4734-1-03* Payment of Fees
4734-1-04* Agents of the Board
4734-1-05* Parliamentary Authority
4734-5-01* Board Approved Chiropractic Educational Institutions and Programs
4734-6-05* License for Voluntary Public Service
4734-8-02* Unlicensed Supportive Personnel
4734-8-03* Quality Intervention Program
4734-8-05* Examination and Prescription Protocols
4734-8-08* Universal Precautions
4734-9-06* Sexual Misconduct
4734-10-03* Application for Acupuncture Certificate
4734-10-05* Acupuncture Referral

Rescinded

4734-6-10* Review of Applicants

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Amended

2 An asterisk denotes that the rule was reviewed by the CSI Office prior to filing.
Continuing Education

Chiropractic licenses and acupuncture certificates must be renewed by April 1 of every even numbered year. The CE requirement for a chiropractic license is 36 hours per biennium and 12 hours per biennium for acupuncture certificates. It is the responsibility of the Board to review and approve CE sponsors and programs held within the state of Ohio. All programs registered and approved are posted on the Board’s website.

In accordance with OAC § 4734-7-02, a program offered within the state of Ohio for CE credit must be sponsored by a Board-approved CE Sponsor. All Board-approved chiropractic colleges are automatically deemed Board-approved CE Sponsors. The following CE Sponsors were approved during FY 2013: Metro Health System of Cleveland, Summa Health Western Reserve Hospital and Central Ohio Chiropractic Association.

BOARD-APPROVED CE SPONSORS

Central Ohio Chiropractic Association
Cleveland Clinic Educational Foundation
Chiropractic Association of Ohio
Metro Health System of Cleveland
Miami Valley Chiropractic Society
Motion Palpation Institute
New Albany Surgical Hospital Foundation
North Central Academy of Chiropractic
Northeast Ohio Academy of Chiropractic
Northwest Ohio Chiropractic Association
Ohio State Chiropractic Association
Ohio Public Health Partnership
The Metro Health System
Canadian Memorial Chiropractic College
Cleveland Chiropractic College
D’Youville College
International Chiropractic Pediatric Assoc.

Adena Health Systems
Life University
Life Chiropractic College West
Logan College of Chiropractic
Southern California University of Health Sciences
Summa Health Western Reserve Hospital
National University of Health Sciences
New York Chiropractic College
Northwestern Health Sciences University
Palmer College of Chiropractic
Parker University
Sherman College of Chiropractic
Texas Chiropractic College
University of Bridgeport
University of Western States
Examination & License Activity

The Board requires all applicants for licensure to successfully take and pass an online Jurisprudence examination. Applicants are permitted to take the exam once they have met all of the Board’s filing requirements and their application is approved.

<table>
<thead>
<tr>
<th>TYPE OF LICENSE</th>
<th>ISSUED DURING FY 13</th>
<th>TOTAL ACTIVE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Chiropractic</td>
<td>96</td>
<td>2482</td>
</tr>
<tr>
<td>Acupuncture Certificates</td>
<td>16</td>
<td>142</td>
</tr>
<tr>
<td>Preceptorship</td>
<td>17</td>
<td>17</td>
</tr>
</tbody>
</table>

**ADDITIONAL CHIROPRACTIC LICENSE DATA:** Inactive 2; Forfeited 0; Retired 2; Deceased 2
Enforcement Statistics & Discipline

The Executive Director serves as the Chief Enforcement Officer and enforces the laws and rules governing the practice of chiropractic. All complaints are reviewed to determine preliminary disposition: closure, referral to another agency, investigation, or hold open pending further information. Voluntary compliance inspections are conducted by Board investigators to ensure compliance with the Board’s laws and rules. The Board regularly works with law enforcement agencies statewide to investigate misconduct by chiropractic physicians and prosecute the unlicensed practice of chiropractic.

INVESTIGATIONS

Investigations opened: 73
Investigations completed: 80
FY 2013 investigations completed during FY 2013: 21
FY 2012 investigations completed during FY 2013: 31
FY 2011 investigations completed during FY 2013: 9
FY 2010 investigations completed during FY 2013: 10
FY 2009 investigations completed during FY 2013: 2
FY 2008 investigations completed during FY 2013: 5
FY 2007 investigations completed during FY 2013: 2

NUMBER OF INVESTIGATIONS BY CATEGORY IN FY 2013

<table>
<thead>
<tr>
<th>Category</th>
<th>FY 2013</th>
</tr>
</thead>
<tbody>
<tr>
<td>Advertising</td>
<td>3</td>
</tr>
<tr>
<td>Billing</td>
<td>28</td>
</tr>
<tr>
<td>Conviction/Criminal</td>
<td>8</td>
</tr>
<tr>
<td>Solicitation</td>
<td>8</td>
</tr>
<tr>
<td>License Denial</td>
<td>1</td>
</tr>
<tr>
<td>Miscellaneous</td>
<td>5</td>
</tr>
<tr>
<td>Records</td>
<td>4</td>
</tr>
<tr>
<td>Sexual Misconduct</td>
<td>5</td>
</tr>
<tr>
<td>Treatment</td>
<td>9</td>
</tr>
<tr>
<td>Unlicensed Practice</td>
<td>2</td>
</tr>
</tbody>
</table>

MISCELLANEOUS ACTIONS

<table>
<thead>
<tr>
<th>Action</th>
<th>FY 2013</th>
</tr>
</thead>
<tbody>
<tr>
<td>Minor Violation Letters Issued</td>
<td>34</td>
</tr>
<tr>
<td>Compliance Inspections/Site Visits</td>
<td>47</td>
</tr>
<tr>
<td>Investigative Subpoenas Issued</td>
<td>61</td>
</tr>
<tr>
<td>Notice of Opportunity for Hearing Dismissals</td>
<td>7</td>
</tr>
<tr>
<td>Cease &amp; Desist Letters Issued</td>
<td>4</td>
</tr>
<tr>
<td>Warning Letters Issued</td>
<td>19</td>
</tr>
<tr>
<td>Consent Agreement Modifications</td>
<td>3</td>
</tr>
</tbody>
</table>
ENFORCEMENT STATISTICS & DISCIPLINE

FORMAL ACTIONS

<table>
<thead>
<tr>
<th>Action</th>
<th>Count</th>
</tr>
</thead>
<tbody>
<tr>
<td>Adjudication Orders</td>
<td>3</td>
</tr>
<tr>
<td>Consent Agreements</td>
<td>7</td>
</tr>
<tr>
<td>Disciplinary Hearings Held</td>
<td>0</td>
</tr>
<tr>
<td>Formal Charges (Citations)</td>
<td>6</td>
</tr>
<tr>
<td>Letters of Admonition</td>
<td>0</td>
</tr>
<tr>
<td>License Revocations</td>
<td>3</td>
</tr>
<tr>
<td>Mental &amp; Physical Exams</td>
<td>0</td>
</tr>
<tr>
<td>Order of Suspension</td>
<td>1</td>
</tr>
</tbody>
</table>

QUALITY INTERVENTION PROGRAM

The QIP is a confidential alternative to formal disciplinary proceedings. The program is a voluntary program designed to address practice and communication complaints which do not appear to warrant intervention by formal disciplinary action, but may indicate that the licensee involved has developed poor practice patterns or has failed to keep up with current standards of chiropractic and/or acupuncture practice.

- During FY 2013 there was one licensee invited to participate in the QIP. This licensee had not completed their participation as of the end of the fiscal year.